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09/764,574APPLICANT  
Vincent P. AnnunziataLIST OF PUBLICATIONS CITED BY APPLICANT  
(Use several sheets if necessary)FILING DATE  
January 18, 2001GROUP  
3693

## US PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

----	AR	Lux, Hal, "Amex Specialists Send Pledge in Unusual Pitch to Brokers," "The Investment Dealers" Digest, IB, Proquest, November 1993. ----
	AS	
	AT	

EXAMINER /Richard Weisberger/

DATE CONSIDERED 04/07/2013

\*EXAMINER: Initial if publication considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

04/07/2013